

UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/885,367	06/20/2001	1741	1058	JP-1	16	82	5

CONFIRMATION NO. 4177

1473  
FISH & NEAVE  
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NEW YORK, NY 10020-1105

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UPDATED FILING RECEIPT



JAN 23 2002

**TC 1700**

Date Mailed: 11/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Joseph F. Pinkerton, Austin, TX;

**Domestic Priority data as claimed by applicant**

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NOV 28 2001

FISH & NEAVE - PATENT DEPT.  
REFERRED TO RWM  
NOTED BY JL

**Projected Publication Date: 12/26/2002**

**Non-Publication Request: No Yes**

**Early Publication Request: No**

**\*\* SMALL ENTITY \*\***

**Title**

Energy conversion systems using nanometer scale assemblies and methods for using same

**Preliminary Class**

136

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PATENTS  
JP-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Joseph F. Pinkerton  
Filed : Herewith  
For : ENERGY CONVERSION SYSTEMS USING  
NANOMETER SCALE ASSEMBLIES AND METHODS  
FOR USING SAME

New York, New York 10020  
June 20, 2001

Hon. Commissioner for Patents  
Washington, D.C. 20231

NONPUBLICATION REQUEST  
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicant hereby requests that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,

Robert W. Morris  
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Receipt

Docket No. JP-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Joseph F. Pinkerton

Application No. : 09/885,367 Confirmation No. 4177

Filed : June 20, 2001

For : ENERGY CONVERSION SYSTEMS USING  
NANOMETER SCALE ASSEMBLIES AND METHODS  
FOR USING SAME

Group Art Unit : 1741

Examiner : Not Yet Assigned

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

New York, NY 10020  
December 21, 2001

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

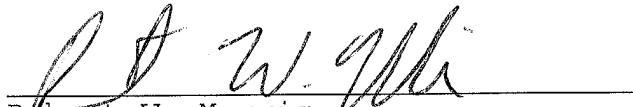
Enclosed is a copy of a Filing Receipt issued by the United States Patent and Trademark Office in connection with the above-identified patent application. The Filing Receipt incorrectly shows that a Non-Publication Request was not filed with this application, when, in fact, a Non-Publication Request was filed concurrently with the application on June 20, 2001. Copies of the Non-Publication Request and a marked-up copy of the Filing Receipt with the requested change are enclosed herewith.

Accordingly, applicant respectfully requests that the United States Patent and Trademark Office issue a

corrected Filing Receipt in which the Non-Publication Request is shown to have been submitted.

The error in the Filing Receipt is believed to be the responsibility of the United States Patent and Trademark Office. However, if for any reason the error is deemed to be the responsibility of applicant, the Director of the United States Patent and Trademark Office is hereby authorized to charge any fee that may be due in connection with this Request to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed herewith.

Respectfully submitted,



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I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to:  
COMMISSIONER FOR PATENTS P.O. Box 2327 WASHINGTON, VA 22202 on

December 21, 2001  
Lillian Garcia  
  
Signature of Person Signing